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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,341	09/28/2001	Neil Peplinski	68192

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

CONFIRMATION NO. 3122

FORMALITIES LETTER



OC00000007027812

Date Mailed: 11/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

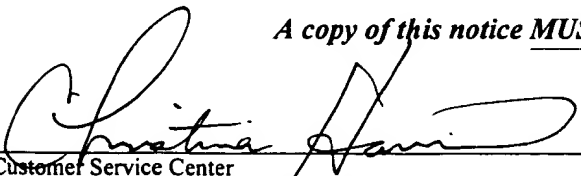
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$528.
 - \$288 for 16 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1368.

A copy of this notice MUST be returned with the reply.



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/27/2002 NHC/HMH1 00000048 061135 09966345

01 FC:101 740.00 CH
02 FC:103 288.00 CH
03 FC:102 252.00 CH
04 FC:105 130.00 CH



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PATENT

Attorney Docket No. 68192

Sections

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Neil R. Pelinski

Appln No.: 09/966,341

Filed: September 28, 2001

For: Movable Barrier Operator With
Back-Up Battery Monitoring
Notification Device

Group Art
Unit: 2643

Examiner: Not yet known

CERTIFICATE OF MAILING

I hereby certify that this paper is being
deposited with the United States Postal Service
as first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on this date.

6
2/8/2002
Date

Kenneth H. Samples
Kenneth H. Samples

Registration No. 25,747
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

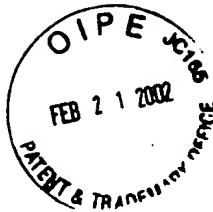
Sir:

In response to the Notice to File Missing Parts dated November 6, 2001, enclosed are:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ An executed Declaration for Patent Application, including Power of Attorney.
- ☐ _____ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal
- ☒ An Assignment of the invention to The Chamberlain Group, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ☐ A check in the amount of \$_____ to cover the fee for recording the assignment.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.
- ☒ Fee Calculation:

Fee Calculation For Claims As Filed

☐ Provisional Application Basic Fee \$160.00 _____



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Attorney Docket No. 68192

Surcharge under 37 C.F.R. §1.16(1) \$ 50.00

<input type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	\$ 740.00
Independent Claims 6 - 3 = 3 x \$ 84.00		\$ 252.00
Total Claims 36 - 20 = 16 x \$ 18.00		\$ 288.00
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	\$ 130.00

<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	

Total Filing and/or Surcharge Fee \$ 1,410.00

☐ Applicant(s) assert entitlement to Small Entity Status,
reducing the Filing/Surcharge Fee by half to: \$ 0.00

☐ A check in the amount of \$ _____ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ 1,410.00 to Deposit Account No. 06-1135.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

2/05/2002
Date

Kenneth H. Samples
Kenneth H. Samples
Registration No. 25,747

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